

ORIGINAL RESEARCH COMMUNICATION

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# Disturbed Flow Induces Autophagy, but Impairs Autophagic Flux to Perturb Mitochondrial Homeostasis

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## Abstract

**Aim:** Temporal and spatial variations in shear stress are intimately linked with vascular metabolic effects. Autophagy is tightly regulated in intracellular bulk degradation/recycling system for maintaining cellular homeostasis. We postulated that disturbed flow modulates autophagy with an implication in mitochondrial superoxide (mtO<sub>2</sub><sup>•-</sup>) production. **Results:** In the disturbed flow or oscillatory shear stress (OSS)-exposed aortic arch, we observed prominent staining of p62, a reverse marker of autophagic flux, whereas in the pulsatile shear stress (PSS)-exposed descending aorta, p62 was attenuated. OSS significantly increased (i) microtubule-associated protein light chain 3 (LC3) II to I ratios in human aortic endothelial cells, (ii) autophagosome formation as quantified by green fluorescent protein (GFP)-LC3 dots per cell, and (iii) p62 protein levels, whereas manganese superoxide dismutase (MnSOD) overexpression by recombinant adenovirus, N-acetyl cysteine treatment, or c-Jun N-terminal kinase (JNK) inhibition reduced OSS-mediated LC3-II/LC3-I ratios and mitochondrial DNA damage. Introducing bafilomycin to Earle's balanced salt solution or to OSS condition incrementally increased both LC3-II/LC3-I ratios and p62 levels, implicating impaired autophagic flux. In the OSS-exposed aortic arch, both anti-phospho-JNK and anti-8-hydroxy-2'-deoxyguanosine (8-OHdG) staining for DNA damage were prominent, whereas in the PSS-exposed descending aorta, the staining was nearly absent. Knockdown of ATG5 with siRNA increased OSS-mediated mtO<sub>2</sub><sup>•-</sup>, whereas starvation or rapamycin-induced autophagy reduced OSS-mediated mtO<sub>2</sub><sup>•-</sup>, mitochondrial respiration, and complex II activity. **Innovation:** Disturbed flow-mediated oxidative stress and JNK activation induce autophagy. **Conclusion:** OSS impairs autophagic flux to interfere with mitochondrial homeostasis. *Antioxid. Redox Signal.* 00, 000–000.

## Introduction

AUTOPHAGY IS AN evolutionarily conserved process. Cellular components, including soluble and aggregated proteins, as well as organelles, are sequestered in autophagosomes and degraded in lysosomes to adapt to nutrient restriction or to eliminate modified macromolecules and damaged organelles (9, 25, 41, 42, 77). While autophagy is essential for cell survival, differentiation and development, dysfunctional autophagy is associated with a number of

pathological conditions, including cardiovascular and neurodegenerative diseases, muscular dystrophies, and cancer (32, 48, 50). Impaired autophagy promotes vascular inflammatory responses and atherogenesis (70), myocardial contractile dysfunction, and heart failure (6, 32, 63). Increasing evidence supports reactive oxygen species (ROS), oxidized lipoproteins, and endoplasmic reticulum stress as autophagy inducers (35, 62, 77); however, the mechanotransduction mechanisms underlying hemodynamic shear stress and autophagy remain elusive.

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### Innovation

Spatial and temporal variations in shear stress regulate vascular metabolic effects. We hereby elucidate the hemodynamic mechanisms underlying disturbed flow and impaired autophagic flux. We demonstrate that oscillatory shear stress (OSS) significantly increases microtubule-associated protein light chain 3 (LC3)-II/LC3-I ratios and p62 expression, whereas pulsatile shear stress (PSS) minimally increases LC3 ratios and downregulates p62. In corollary, the OSS-exposed aortic arch is preferentially prominent for p62 accumulation in association with markers for JNK activation and mitochondrial DNA damage, as opposed to the PSS-exposed descending aorta. Thus, disturbed flow-associated OSS induces autophagy, but impairs autophagic flux to perturb mitochondrial homeostasis.

Autophagy is a highly regulated process associated with the activation of autophagy-related (ATG) genes (61). The initiation of autophagy is influenced by the UNC-51-like kinase (ULK)-Atg17 complex, which is inhibited by the mechanistic target of rapamycin (mTOR) (24). Inhibition of mTOR by rapamycin results in the activation of autophagy (24). The ATG8/microtubule-associated protein light chain 3 (LC3) family and ATG5 play key roles in autophagosome biogenesis by building protein scaffolds (3, 14, 68, 75) and by mediating expansion of the lipid membrane of the autophagosome (61). Conversion of LC3-I (ATG8) to LC3-II allows the anchorage of ATG8/LC3 to the phagophore and is essential for its expansion to form autophagosomes (61). Autophagy substrates are targeted for degradation by associating with p62/SQSTM1, a multidomain protein that functions as a selective autophagy receptor, which physically link autophagic cargo to ATG8/MAP1-LC3/GABARAP family members located on the forming autophagic membranes (71). Deficiency in autophagy leads to intracellular accumulation of p62, a marker for an incomplete autophagy process known as impaired autophagic flux (45).

Shear stress imparts both metabolic and mechanical effects on vascular endothelial cells (10), with a pathophysiological relevance in the focal and eccentric nature of atherosclerotic lesions (11, 18, 20, 21, 28, 34, 36, 37, 79). A complex flow profile develops at arterial bifurcations; namely, flow separation and migrating stagnation points create low and oscillatory shear stress (OSS). At the lateral walls of bifurcations, disturbed flow, including oscillatory flow (bidirectional and axially misaligned flow), preferentially induces oxidative stress to initiate atherosclerosis, whereas in the medial walls of bifurcations, pulsatile flow (unidirectional and axially aligned flow) downregulates inflammatory responses and oxidative stress (33). Specifically, pulsatile shear stress (PSS) increased endothelial mitochondrial membrane potential ( $\Delta\Psi_m$ ), accompanied by a decrease in mitochondrial superoxide production ( $\text{mtO}_2^{\bullet-}$ ) (51), whereas OSS and oxidized low-density lipoprotein (ox-LDL) increased  $\text{mtO}_2^{\bullet-}$  production and apoptosis (73, 74). In this context, spatial ( $\partial\tau/\partial x$ ) and temporal ( $\partial\tau/\partial t$ ) variations in shear stress largely determine the focal nature of vascular oxidative stress and proinflammatory state.

Oxidative stress is considered to be a major inducer of autophagy (35, 41). ox-LDL induces autophagy accompanied by

mitochondrial DNA (mtDNA) damage (22). Unlike nuclear DNA, the lack of protective histones in mitochondria renders mtDNA vulnerable to oxidative stress (76). A significantly higher incidence of mtDNA with 4977 bp deletion mutation is present in circulating blood cells and atherosclerotic lesions (7). ApoE-null mice deficient in protein kinase ATM (ataxia telangiectasia mutated) also exhibit an increase in frequency of mtDNA damage and a decrease in oxidative phosphorylation, leading to defects in cellular proliferation and initiation of atherosclerosis (60). OSS activates NADPH oxidase to increase cytosolic superoxide ( $\text{O}_2^{\bullet-}$ ) production, which in turn activates c-Jun NH<sub>2</sub>-terminal kinase (JNK), leading to  $\text{mtO}_2^{\bullet-}$  production (19, 73, 74). Increased  $\text{mtO}_2^{\bullet-}$  production impairs the electron transport chain and promotes mtDNA damage (38).

While biomechanical forces have been reported to induce autophagy (42), whether spatial and temporal variations in shear stress modulate autophagy to influence  $\text{mtO}_2^{\bullet-}$  production remains undefined. We demonstrated prominent staining for p62, a reverse marker of autophagic flux, in the disturbed flow-exposed aortic arch, but attenuated p62 in the PSS-exposed descending aorta. OSS significantly increased the LC3-II/LC3-I ratios and p62 levels, whereas PSS minimally increased LC3 ratios and decreased P62 expression. Both anti-phospho-JNK and anti-8-hydroxy-2'-deoxyguanosine (8-OHdG) staining for DNA damage were prominent in the OSS-exposed aortic arch, whereas the staining was nearly absent in the PSS-exposed descending aorta. Our results indicate that OSS-mediated oxidative stress and JNK activation induced autophagy, but impaired autophagic flux to promote  $\text{mtO}_2^{\bullet-}$  production, mtDNA damage, and mitochondrial dysfunction in the disturbed flow-exposed regions.

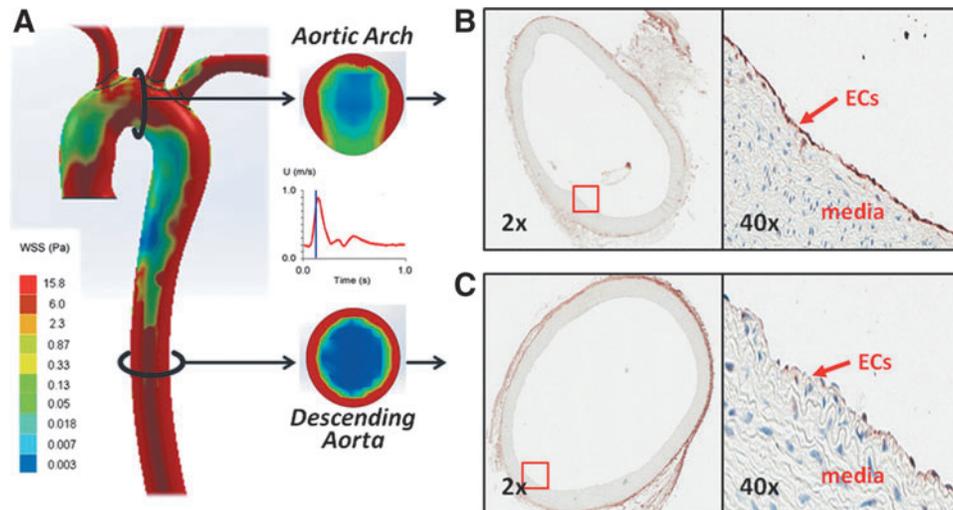
## Results

### *Spatial variations in shear stress modulate p62/SQSTM1 immunostaining in rabbit aorta*

Atherosclerosis preferentially develops in the branch points and curvature of arterial trees where disturbed flow, including OSS, occurs (11, 18, 28, 36, 79). To examine whether there is a relationship between spatial variations in shear stress and autophagy, we stained cross sections of the rabbit aortic arch and descending aorta with an antibody against the autophagy-related gene p62, an ubiquitin-binding scaffold protein to promote autophagosome formation. Computational fluid dynamics (CFD) was employed to illustrate both spatial ( $\partial\tau/\partial x$ ) and temporal variations ( $\partial\tau/\partial t$ ) in wall shear stress at an instantaneous moment in systole and diastole (Fig. 1A). Immunohistochemistry revealed prominent endothelial p62 staining in the OSS-exposed aortic arch (Fig. 1B), but p62 staining was nearly absent in the PSS-exposed descending aorta (Fig. 1C) (65, 72). This observation suggests a link between spatial variations in shear stress and p62 staining and provides a basis to determine whether temporal variations in shear stress ( $\partial\tau/\partial t$ ), namely OSS *versus* PSS, differentially induce autophagy.

### *Temporal variations (PSS vs. OSS) in shear stress modulate LC3-II to LC3-I ratios and autophagosome formation*

Human aortic endothelial cells (HAECs) were exposed to OSS *versus* PSS for 4 h, and autophagy was assessed by



**FIG. 1. Spatial variations in shear stress differentially increased p62 accumulation in rabbit aorta.** (A) Cross section in rabbit aorta highlights instantaneous spatial variations in WSS in the aortic arch *versus* descending aorta during systole. The instantaneous WSS is low in the lesser curvature of aortic arch, but is circumferentially high in the descending aorta. Immunohistochemistry reveals more prominent p62 staining in the lesser curvature of aortic arch (B) where ECs were exposed to disturbed flow, including OSS, when compared with the descending aorta (C) where ECs were exposed to PSS. ECs, endothelial cells; OSS, oscillatory shear stress; PSS, pulsatile shear stress; WSS, wall shear stress.

normalizing the LC3-II to LC3-I ratios to the static condition. The LC3-II to LC3-I ratios significantly increased in response to OSS by 120% ( $p < 0.001$  vs. static control,  $n = 5$ ) and 77% over PSS ( $p < 0.001$ ,  $n = 5$ ), whereas PSS minimally increased LC3 ratios by 23% ( $p < 0.05$ ,  $n = 5$ ) (Fig. 2A). To further assess the completion of the autophagy process, we examined the p62 levels, a reverse marker for autophagy flux. OSS increased p62 by 37% ( $p < 0.01$ ,  $n = 4$ ), whereas PSS did not significantly change p62 level (Fig. 2A). In parallel, OSS significantly increased autophagosome formation, as illustrated by green fluorescent protein (GFP)-LC3 puncta or dots/cell, but not PSS (Fig. 2B, arrows). Earle's balanced salt solution (EBSS) to induce autophagy by starvation also increased GFP-LC3 puncta (Fig. 2B). Our data indicate that OSS significantly induced autophagy by nearly twofold and thus provided a basis to focus on OSS modulation of autophagy.

#### *OSS impairs autophagic flux*

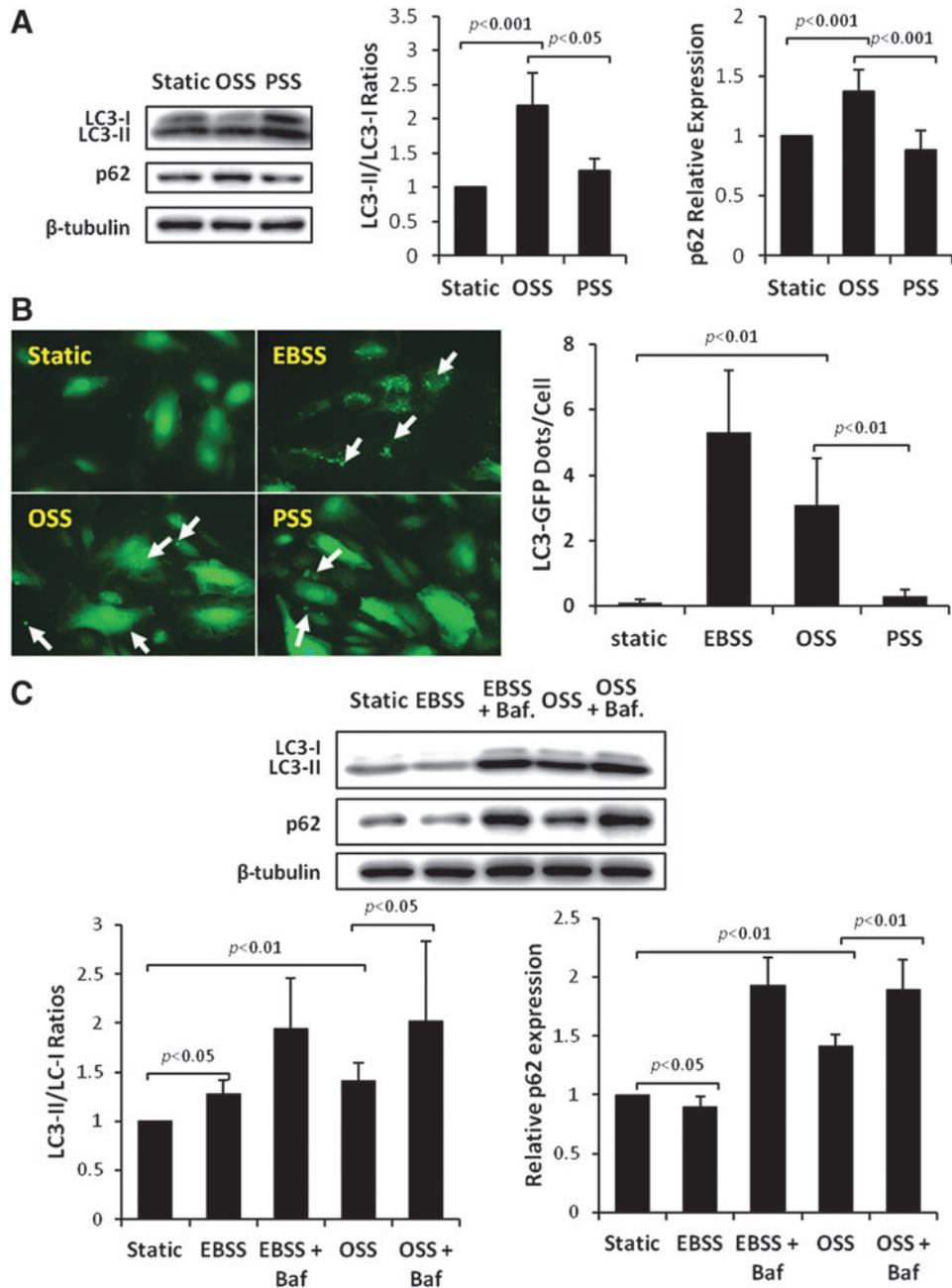
To further determine OSS modulation of autophagic flux, we compared the LC3 ratios and p62 levels in the presence of autolysosome inhibitor, bafilomycin. EBSS-induced autophagy increased LC3-II to LC3-I ratios by 28% ( $p < 0.05$ ,  $n = 6$ ), but decreased p62 levels by 10% ( $p < 0.05$ ,  $n = 6$ ), and co-treatment of EBSS and bafilomycin increased LC3-II to LC3-I ratios by 55% ( $p < 0.05$  vs. EBSS,  $n = 6$ ) and p62 levels by 120% ( $p < 0.01$  vs. EBSS,  $n = 4$ ) (Fig. 2C). Cotreatment of OSS and bafilomycin increased LC3-II to LC3-I ratios by 47% ( $p < 0.05$  vs. OSS,  $n = 6$ ) and p62 levels by 41% ( $p < 0.01$  vs. OSS,  $n = 6$ ) (Fig. 2C). The increase in p62 levels in response to introducing bafilomycin to EBSS or OSS indicates an incomplete autophagy process, supporting the notion that OSS induces autophagy by an increase in LC3-II to LC3-I ratios, but impairs autophagic flux by a concomitant increase in p62 levels.

#### *OSS-induced oxidative stress and JNK signaling increase LC3-II to LC3-I ratios*

Previous studies demonstrated that OSS induces endothelial  $O_2^{\bullet-}$  production *via* activation of the NADPH oxidase enzyme system and c-Jun NH<sub>2</sub>-terminal kinase (JNK-1 and JNK-2) signaling (36, 37, 56, 73, 74). In this study, we transfected HAECs with control (Adv-LacZ) or recombinant manganese superoxide dismutase (MnSOD) adenovirus (Adv-MnSOD) to reduce mitochondrial superoxide production ( $O_2^{\bullet-}$ ) (74). Overexpression of MnSOD significantly attenuated OSS-induced LC3-II to LC3-I ratios ( $p < 0.05$  vs. static condition,  $n = 4$ ) (Fig. 3A). Similarly, treatment with antioxidant N-acetyl cysteine (NAC) and JNK inhibitor, SP600125, significantly mitigated OSS-induced LC3-II to LC3-I ratios (NAC:  $p < 0.01$ ,  $n = 4$ ; SP600125:  $p < 0.05$ ,  $n = 4$ ) (Fig. 3B, C). Thus, OSS-mediated  $O_2^{\bullet-}$  production and JNK phosphorylation induce autophagy.

#### *EBSS or rapamycin-induced autophagy mitigates OSS-induced mitochondrial superoxide ( $mtO_2^{\bullet-}$ ) production*

OSS significantly increased  $mtO_2^{\bullet-}$  production in HAECs, as measured *via* MitoSOX Red fluorescent intensity quantification by flow cytometry ( $p < 0.05$ ,  $n = 4$ ) (Fig. 4A). EBSS attenuated OSS-induced inhibition of autophagy flux ( $p < 0.05$ ,  $n = 6$ ) (Supplementary Fig. S1; Supplementary Data are available online at [www.liebertpub.com/ars](http://www.liebertpub.com/ars)) and  $mtO_2^{\bullet-}$  production ( $p < 0.05$ ,  $n = 4$ ) (Fig. 4A). Treatment of HAECs with rapamycin resulted in a similar finding (Supplementary Fig. S2), whereas ATG5 knockdown with siRNA (siATG5) accentuated MitoSOX Red intensities ( $p < 0.05$ ,  $n = 4$ ) (Fig. 4B). Thus, induction of autophagy attenuated OSS-mediated  $mtO_2^{\bullet-}$  production.



**FIG. 2. Temporal variations in shear stress (OSS vs. PSS) differentially induced autophagy in HAECs.** (A) HAECs were exposed to OSS *versus* PSS for 4 h. Autophagy was assessed by normalizing the ratios of LC3-II to LC3-I with the static condition and comparing p62 levels by Western blotting. OSS significantly increased the LC3-II/LC3-I ratios ( $p < 0.001$ ,  $n = 5$ ) when compared with static condition and PSS, whereas PSS minimally increased LC3 ratios ( $p < 0.05$ ,  $n = 5$ ) in comparison with static condition. OSS also increased protein levels of p62 against static condition and PSS ( $p < 0.01$ ,  $n = 4$ ), whereas there was no significant change between static condition and PSS. (B) HAECs were infected with recombinant LC3-GFP adenovirus (1:20) overnight, and then were exposed to OSS *versus* PSS for 4 h. LC3-GFP puncta or dots/cell (arrows) indicated that OSS significantly increased the number of autophagosomes in comparison with static control ( $p < 0.01$ ,  $n = 3$ ) similarly to EBSS-induced autophagy ( $p < 0.01$ ,  $n = 3$ ). PSS only minimally increased LC3-GFP puncta *versus* static condition ( $p < 0.05$ ,  $n = 3$ ). (C) HAECs were treated with either OSS or EBSS in the presence or absence of 1 nM of bafilomycin (Baf). While EBSS increased LC3 ratios ( $p < 0.05$ ,  $n = 6$ ) and decreased p62 levels ( $p < 0.05$ ,  $n = 6$ ) over static condition, bafilomycin treatment significantly increased LC3 ratios and p62 to a lesser extent in OSS-exposed cells (LC3:  $p < 0.05$ ,  $n = 6$ ; p62:  $p < 0.01$ ,  $n = 6$ ) than EBSS-treated cells (LC3:  $p < 0.001$ ,  $n = 6$ ; p62:  $p < 0.001$ ,  $n = 6$ ). EBSS, Earle's balanced salt solution; GFP, green fluorescent protein; HAEC, human aortic endothelial cell; LC3, microtubule-associated protein light chain 3.

*EBSS or rapamycin-induced autophagy restores OSS-mediated reduction in mitochondrial respiration*

OSS significantly reduced mitochondrial respiration by 46% ( $p < 0.01$ ,  $n = 4$ ). EBSS-induced autophagy completely restored OSS-mediated reduction in mitochondrial respiration ( $p < 0.01$ ,  $n = 4$ ) (Fig. 5A). Mitochondrial complex II generates ROS at high rates during oxidative phosphorylation (69). OSS reduced complex II activity in HAECs by 43% ( $p < 0.05$ ,  $n = 3$ ), which was restored by EBSS ( $p < 0.05$ ,

$n = 3$ ) (Fig. 5B). Rapamycin-induced autophagy also completely restored an OSS-mediated decrease in complex II activity ( $p < 0.05$ ,  $n = 4$ ) (Supplementary Fig. S3). Collectively, induction of autophagy restores mitochondrial respiration.

*OSS-mediated JNK signaling and  $mtO_2^{\bullet-}$  production induce mtDNA damage*

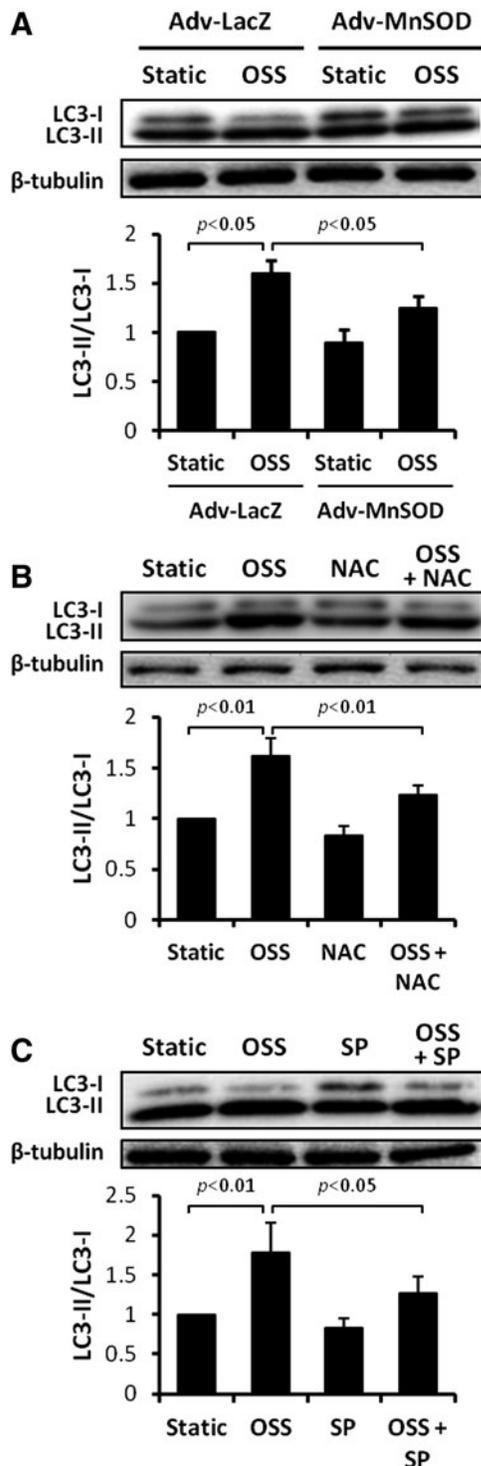
Unlike nuclear DNA, mtDNA is not bound directly to the histone family in the mitochondrial matrix (13, 40) and is susceptible to oxidative DNA damage (76). OSS-induced mtDNA damage was assessed by accumulation of 8-OHdG (40) and was predominantly colocalized with mitochondria (anti-cytochrome C, red fluorescence) (Fig. 6A). OSS induced a 4.5-fold increase in mtDNA damage ( $p < 0.001$  vs. control,  $n = 4$ ) (Fig. 6B). OSS-induced nuclear DNA damage was statistically insignificant, as measured by the Comet assay ( $p = 0.9$ ,  $n = 3$ ) (Fig. 6C). Furthermore, OSS-induced mtDNA damage was significantly mitigated by overexpression of MnSOD with recombinant adenoviruses ( $p < 0.05$ ,  $n = 4$ ) (Fig. 7A), treatment with antioxidant NAC ( $p < 0.05$ ,  $n = 4$ ) (Fig. 7B), and the JNK inhibitor, SP600125 ( $p < 0.01$ ,  $n = 4$ ) (Fig. 7C). These observations demonstrate that OSS-induced  $mtO_2^{\bullet-}$  production and JNK signaling promote mtDNA damage.

*DNA damage and JNK activation develop in the disturbed flow-exposed aortic arch*

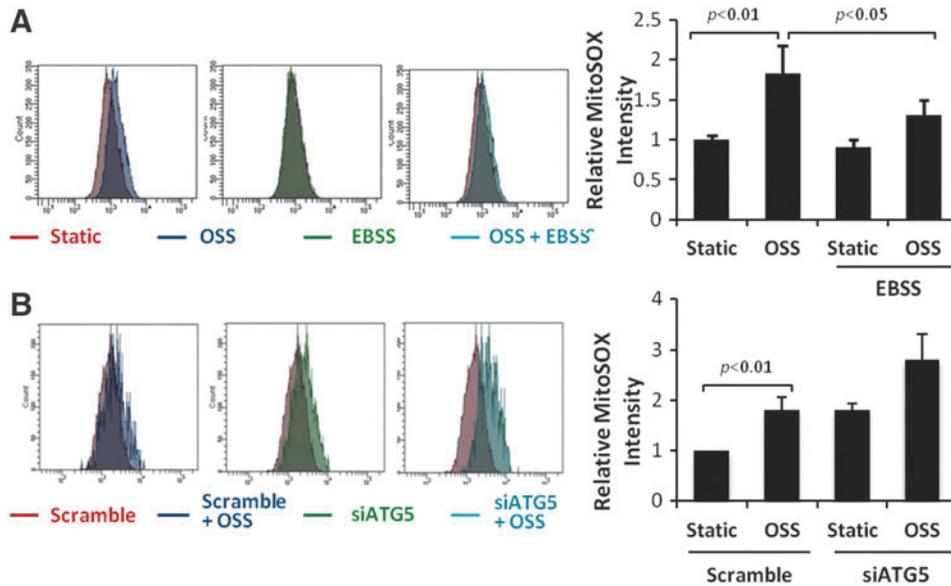
To recapitulate spatial variations in OSS-induced JNK activation and mtDNA damage *in vivo*, we performed immunohistochemistry staining in both the aortic arch and descending aorta of New Zealand White rabbits fed a normal chow diet. Both anti-8-OHdG antibody staining for oxidative DNA damage and anti-phospho-JNK staining were prominent in the OSS-exposed aortic arch, but were nearly absent in the PSS-exposed descending aorta (Fig. 8). Taken together, spatial (lesser vs. greater curvature vs. descending aorta) and temporal variations (OSS vs. PSS) in shear stress differentially induce autophagy and autophagic flux (Fig. 1) to modulate mitochondrial homeostasis (Fig. 6).

**Discussion**

Spatial and temporal variations in hemodynamic shear stress modulate endothelial metabolic states to support the focal and eccentric nature of atherosclerotic lesions. While



**FIG. 3. OSS induced oxidative stress and JNK activation to activate autophagy.** (A) HAECs were infected with control or recombinant MnSOD adenovirus (MOI 1:100) overnight, and then were exposed to static condition or OSS for 4 h. Overexpression of MnSOD significantly attenuated OSS-induced LC3-II/LC3-I ratios (OSS:  $p < 0.05$ ,  $n = 4$ ; MnSOD:  $p < 0.05$ ,  $n = 4$ ). (B) HAECs were exposed to static condition or OSS for 4 h in the presence or absence of NAC at 5 mM or (C) a JNK inhibitor, SP600125 (SP), at 2  $\mu$ M. Both antioxidant NAC and JNK inhibitor significantly attenuated OSS-induced LC3-II/LC3-I ratios (NAC:  $p < 0.01$ ; SP:  $p < 0.05$ ,  $n = 4$ ). JNK, c-Jun N2-terminal kinase; MnSOD, manganese superoxide dismutase; MOI, multiplicity of infection; NAC, N-acetyl cysteine.



**FIG. 4. EBSS-activated autophagy mitigated OSS-induced mitochondrial  $O_2^{\bullet-}$  production.** (A) HAECs were exposed to OSS in DMEM/1% FBS (control) or in EBSS for 4 h.  $MtO_2^{\bullet-}$  production was measured by the MitoSox Red fluorescent intensity. EBSS attenuated OSS-induced MitoSox Red intensity ( $p < 0.05$ ,  $n = 4$ ). (B) HAECs were transfected with scrambled siRNA or ATG5 siRNA for 48 h, and then were exposed to static condition or OSS. Knock-down of ATG5 augmented OSS-induced MitoSox Red intensity ( $p = 0.059$ ,  $n = 4$ ).

the mechanotransduction mechanisms underlying fluid shear stress and oxidative stress have been well elucidated (15–18, 29), we hereby provide new metabolic insights into the disturbed flow-mediated (i) LC3-II to LC3-I ratios to promote autophagosome biogenesis and (ii) p62 accumulation to impair autophagic flux. We further elucidate OSS-mediated oxidative stress and JNK signaling underlying activation of autophagy to modulate mitochondrial homeostasis (Fig. 9).

Organisms experience frequent changes in their biophysical and biochemical environment. Induction of basal autophagy preserves cellular function and adapts to stress responses *via* delivery of proteins, macromolecules, organelles, and microbes to the lysosome for digestion (12, 31, 46, 54, 57, 59, 66, 78). Macrophage-specific ATG5-null mice develop an increase in atherosclerotic lesions accompanied by elevated inflammatory markers, supporting the impairment of autophagy as an underlying mechanism in the initiation of atherosclerosis (70). In our dynamic flow system, ATG5 knockdown accentuated OSS-induced  $mtO_2^{\bullet-}$  production, whereas EBSS or rapamycin activated autophagy to attenuate OSS-induced  $mtO_2^{\bullet-}$  production (Fig. 4A and Supplementary Fig. S2).

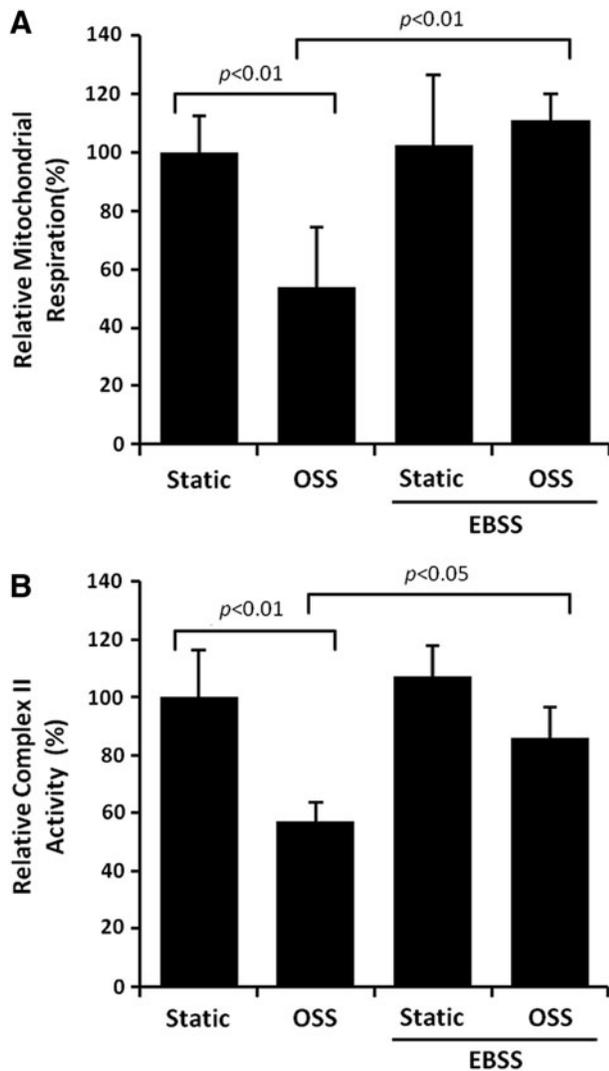
In the disturbed flow-exposed regions of the adult porcine aorta, both proinflammatory and antioxidative endothelial transcription profiles coexist (64). While disturbed flow promotes inflammatory responses, the antioxidative transcript profiles, including MnSOD expression, prevent initiation of atherosclerosis (64). Shear stress-responsive MnSOD is located in the mitochondrial matrix to dismutate the conversion of superoxide anion ( $O_2^{\bullet-}$ ) to hydrogen peroxide ( $H_2O_2$ ) (2, 43). MnSOD<sup>+/-</sup> mice exhibit impaired endothelium-dependent vasodilation (26). ApoE<sup>-/-</sup> MnSOD<sup>+/-</sup> mice display earlier mtDNA damage and accelerated atherosclerosis compared with ApoE<sup>-/-</sup> MnSOD<sup>+/+</sup> mice (4). In our dynamic HAEC model, induction of autophagy mitigates OSS-induced  $mtO_2^{\bullet-}$  production in the absence of hyperlipidemia. EBSS or rapamycin-induced autophagy mitigates OSS-mediated JNK activation and  $mtO_2^{\bullet-}$  production to reduce mtDNA damage, which initiates atherogenesis (22, 58, 60). Overexpression of MnSOD and treatment with NAC

or JNK inhibitor significantly attenuate OSS-induced mtDNA damage (Fig. 7). In corollary, overexpression of MnSOD attenuates JNK-mediated MnSOD protein degradation and ubiquitination, leading to an increase in cleaved caspase-3 (74). Preferential accumulation of p62 levels in the OSS-exposed aortic arch rather than PSS-exposed descending aorta further supports an incomplete autophagy process known as impaired autophagic flux (45) (Fig. 1) (44, 47). Thus, the combination of impaired autophagic flux and mtDNA damage in the disturbed flow-exposed regions is conducive to initiate endothelial dysfunction (Fig. 8).

It is well recognized that ROS activate antibacterial autophagy and autophagic cell death through distinct mechanisms, depending on the specific cell types in the microenvironment (35, 41). Induction of autophagy by pharmacological intervention, overexpression of ATG genes, and exercise-mediated shear stress augmentation confer cellular protection against the aggregate-prone proteins associated with neurodegeneration (1, 67). In this study, we demonstrate that spatial ( $\partial\tau/\partial x$ ) and temporal variations ( $\partial\tau/\partial t$ ) in shear stress induce cytosolic  $O_2^{\bullet-}$  production, JNK activation, and mitochondrial metabolic changes to increase LC3-II to LC3-I ratios.

NADPH oxidase cytosolic  $O_2^{\bullet-}$ -JNK phosphorylation signaling was implicated in OSS-mediated  $mtO_2^{\bullet-}$  production (73). Immunostaining of aorta from New Zealand White (NZW) rabbits fed a normal diet supports the notion that DNA damage and JNK activation coexist in the OSS-exposed region or lesser curvature of the aortic arch (Fig. 8). In our dynamic HAEC model, OSS increased LC3-II/LC3-I ratios and GFP-LC3 dots/cell to a greater extent than did PSS, and OSS-mediated cytosolic  $O_2^{\bullet-}$ -JNK phosphorylation signaling induces autophagy (Fig. 3). Conversely, EBSS and rapamycin-induced autophagy mitigate OSS-induced  $mtO_2^{\bullet-}$  production, whereas ATG5 siRNA-inhibited autophagy increased OSS-induced  $mtO_2^{\bullet-}$  production. We further observed that autophagy restored OSS-mediated mitochondrial respiration (Fig. 5A).

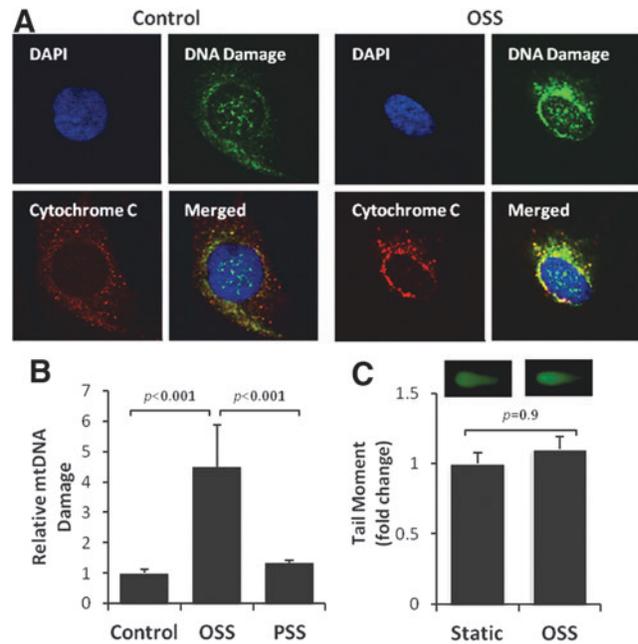
Due to the lack of protective histones, mtDNA is vulnerable to oxidative damage (76). Oxidative phosphorylation is



**FIG. 5. EBSS-activated autophagy restored OSS-mediated reduction in mitochondrial respiration.** HAECs were exposed to OSS in DMEM/1% FBS (control) or in EBSS for 4 h. **(A)** Mitochondrial respiration was assessed by Seahorse Flux Analyzer. EBSS restored OSS-mediated reduction in mitochondrial respiration (OSS:  $p < 0.01$ ,  $n = 4$ ; EBSS:  $p < 0.01$ ,  $n = 4$ ). **(B)** EBSS restored OSS-mediated reduction in mitochondrial complex II activity ( $p < 0.05$ ,  $n = 4$ ).

coupled with respiratory chain complexes I to II, III, and IV (23, 30). While the transfer of electrons from ubiquinone through the mitochondrial respiratory chain is more than 98% efficient, 1.5%–2% of electrons leak out to form  $O_2^{\bullet-}$  (27). For these reasons, autophagy modulates OSS-mediated changes in mitochondrial respiration and complex activity to mitigate  $mtO_2^{\bullet-}$  production and mtDNA damage (Fig. 4A and Supplementary Figs S1 and S2).

mtDNA damage is frequently observed in blood cells and the vascular wall. Despite its low abundance, a specific 4977 bp common deletion (mtDNA [4977]) is associated with mitochondrial dysfunction (7). mtDNA damage primes the initiation of atherosclerosis (60). Our laboratory and others have demonstrated that OSS activates NADPH oxidase, which, in turn, induces an increase in cytosolic  $O_2^{\bullet-}$



**FIG. 6. OSS induced mtDNA damage.** **(A)** Immunofluorescent staining revealed mtDNA damage. HAECs exposed to OSS (4 h) developed a prominent intensity for anti 8-OHdG (green) as an indicator for DNA damage, which colocalized with anti-cytochrome C (red) for mitochondria. DAPI (blue) stained for nuclei. **(B)** HAECs were exposed to OSS versus PSS for 4 h, and mtDNA damage was measured by quantitative PCR. OSS induced a 4.5-fold increase in mtDNA damage ( $p < 0.001$ ,  $n = 4$ ), and PSS induced an insignificant increase ( $p > 0.05$ ,  $n = 3$ ). **(C)** OSS induces negligible nuclear DNA damage. Tail moment analysis of Comet assay (CometScore software) revealed an insignificant difference in nuclear DNA damage between static and OSS conditions ( $p = 0.9$ ,  $n = 3$ ). 8-OHdG, 8-hydroxy-2'-deoxyguanosine; mtDNA, mitochondrial DNA.

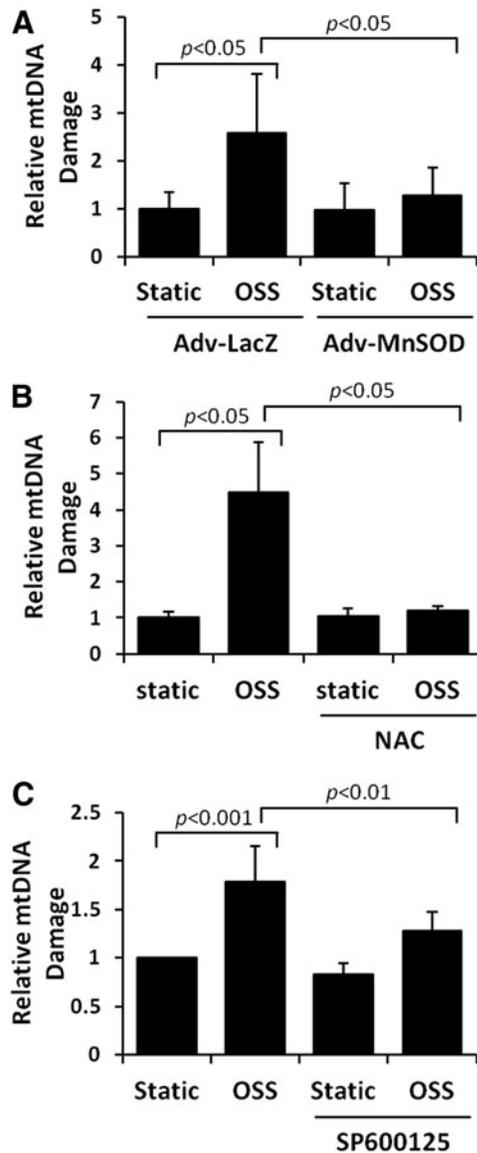
production to activate JNK and to increase  $mtO_2^{\bullet-}$  production (19, 73, 74). Thus, OSS induces the JNK signaling pathway to initiate  $mtO_2^{\bullet-}$  production and mtDNA damage.

Overall, disturbed flow modulates the cross talk between autophagy and mitochondrial function (5, 22, 35, 49, 55). In the athero-prone or OSS-exposed arterial regions, basal endothelial homeostasis may be dependent on the balance between OSS-induced mtDNA damage and autophagy. In the presence of cardiovascular risk factors, disturbed flow impairs autophagic flux to initiate inflammatory responses and atherosclerosis. We hereby provide a dynamic model with translational implications to modulate mechanosensitive tissues to maintain cellular homeostasis.

## Materials and Methods

### Immunohistochemistry staining

p62, also known as sequestosome (SQSTM1), is an ubiquitin-binding scaffold protein. p62 accumulates when autophagy is inhibited and decreases when autophagy is induced (44, 47). Cross sections of the aortic arch and descending aorta from NZW rabbits were stained with anti-p62 antibody (Boster Biological Technologies) to assess changes in autophagy *in vivo*. Staining of the aortic arch and



**FIG. 7. Antioxidants and JNK inhibitor reversed OSS-induced mtDNA damage.** (A) HAECs were infected with control or recombinant MnSOD adenovirus (MOI 1:100) overnight, and then were exposed to OSS for 4 h. MnSOD overexpression significantly reversed OSS-induced mtDNA damage ( $p < 0.05$ ,  $n = 4$ ). (B) HAECs were exposed to static condition or OSS for 4 h in the presence or absence of 5 mM of antioxidant (NAC) or (C) 10  $\mu$ M of JNK inhibitor, SP600125 (SP). Both NAC and SP significantly reversed OSS-induced mtDNA damage (NAC:  $p < 0.05$ ; SP:  $p < 0.01$ ,  $n = 4$ ).

descending aorta was performed with anti-8-OHdG (Cayman Chemicals) and anti-phospho-JNK (Cell Signaling) to assess DNA damage by oxidation.

#### Three-dimensional CFD simulation

Aortic arch geometry was extracted from an angiogram video of the aorta. Diameters were measured every 5 mm along the length of the aorta and cross sections were assumed to be circular at every measured site. The aorta was reconstructed in SolidWorks (Concord). The pulsatile arterial profiles were captured from the MRI images and the video frames were

extracted by ImageJ (National Institute of Health). Arterial velocity profiles were used as inlet boundary condition and the outlet was defined at 0 Pa, static pressure. CFD simulation was performed with SolidWorks Flow Simulation (Concord) with 3176 fluid mesh cells and 6082 partial mesh cells. The simulation was run until the defining 2 s physical time with a time step size of 0.01. SolidWorks Flow Simulation automatically sets convergence conditions with changing iteration numbers. The governing equations were solved by laminar incompressible blood with nonslip unsteady flow condition.

#### Endothelial cell culture

HAECs were cultured in endothelial cell growth medium (Cell Applications) and used between passages 5 and 9. For the autophagy study in response to shear stress, cells were seeded on gelatin-coated glass slides (25  $\times$  75 mm) and grown to confluent monolayers for 48 h in 5% CO<sub>2</sub> at 37°C before flow was applied. For inhibitor and stimulator studies, the cells were pretreated with c-Jun NH<sub>2</sub>-terminal Kinase (JNK) inhibitor, SP600125 (2–10  $\mu$ M), antioxidant NAC (5 mM), or rapamycin (1  $\mu$ M) before shear stress exposure.

#### Dynamic flow system to simulate PSS versus OSS profiles

A dynamic flow channel was used to recapitulate hemodynamics in human carotid arterial bifurcations (2, 39). The flow system was designed to generate well-defined flow profiles across the width of the parallel flow chamber at various temporal gradients ( $\partial\tau/\partial t$ ), frequencies, and amplitudes. HAECs were exposed to three conditions in DMEM/1% FBS unless otherwise stated: (i) control at static conditions, (ii) PSS at  $\tau_{\text{avg}} = 23 \text{ dyn}\cdot\text{cm}^{-2}$  and  $\partial\tau/\partial t$  at  $\pm 8 \text{ dyn}\cdot\text{cm}^{-2}\cdot\text{s}^{-1}$  at 1 Hz, and (iii) OSS at  $\tau_{\text{avg}} = 0.02 \text{ dyn}\cdot\text{cm}^{-2}$  and  $\partial\tau/\partial t$  at  $\pm 3 \text{ dyn}\cdot\text{cm}^{-2}\cdot\text{s}^{-1}$  at 1 Hz.

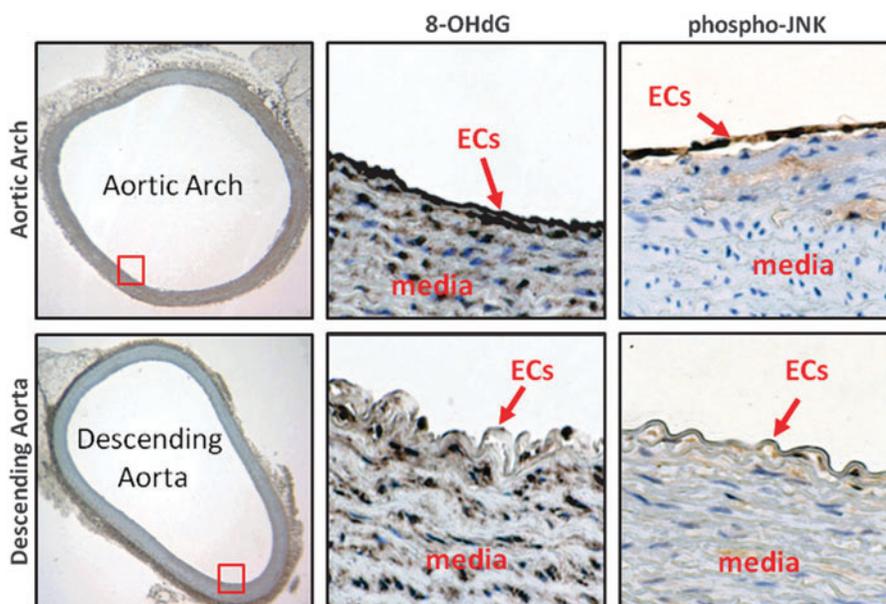
#### Western blot analyses

After flow exposure, HAECs were rinsed with phosphate-buffered saline and lysed using RIPA buffer supplemented with protease and phosphatase inhibitors. Protein concentration was measured using the Bio-Rad DC assay and 50  $\mu$ g of protein was loaded for Western blotting essentially as previously described (53). Antibodies against autophagy-associated genes were purchased from Abcam for LC3 and from Boster Biological Technologies for p62. Parallel blots were performed with anti- $\beta$ -tubulin (Millipore, Inc.) for loading normalization. Densitometry was performed to quantify blot bands as previously described (53).

#### Autophagosome visualization with GFP-LC3

HAECs seeded onto glass slides were infected with recombinant GFP-LC3 adenoviruses, kindly provided by Dr. Junichi Sadoshima from Rutgers New Jersey Medical School, at the multiple of infection (MOI) of 1:20. The cells were then applied to EBSS, static condition, or shear stress for 4 h. Fluorescent images were acquired using an inverted microscope (Olympus) and a DSLR camera (Canon). The punctate autophagosome structures were assessed by quantifying the dots inside cells. Dots were averaged from 50 cells for each condition as an indication of autophagosome formation.

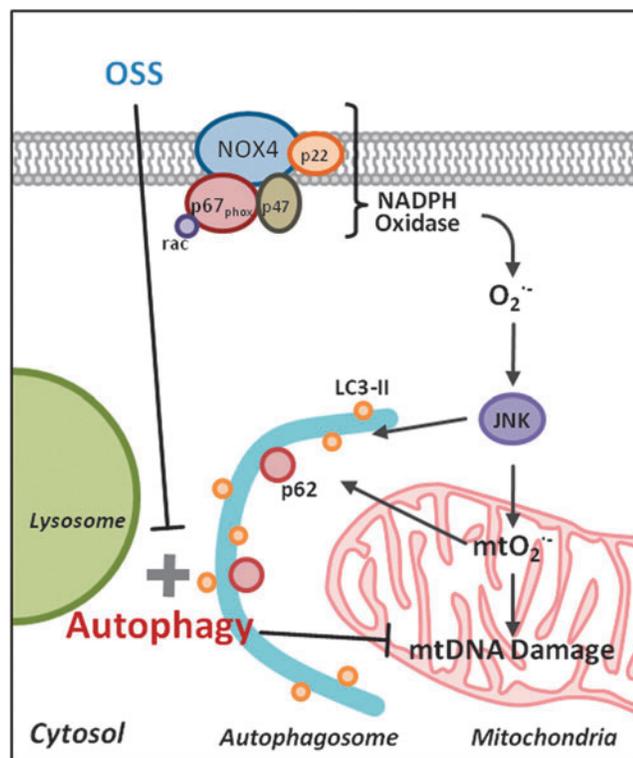
**FIG. 8. Spatial variations in shear stress differentially promoted DNA damage and JNK activation in New Zealand White rabbits.** Cross sections of the aortic arch *versus* descending aorta were stained with anti-8-OHdG for DNA damage and anti-phospho-JNK for JNK activation. Prominent anti-8-OHdG staining was observed in the aortic arch, but nearly absent in the descending aorta. Phospho-JNK staining was prominent in the aortic arch, but nearly absent in the descending aorta. The red squares denote enlarged areas (100× magnification).



*Mitochondrial respiration assay*

HAECs treated with dynamic shear stress were collected by trypsinization and seeded on XF24 V7 microplates at

25,000 cells/well. The cells were allowed to adhere to the plate for 2h, then analyzed for mitochondrial respiration using the Seahorse XF24 analyzer as previously described (69). Mitochondrial respiration was measured and normalized to protein levels using the Bio-Rad protein assay.



**FIG. 9. Scheme of OSS modulation of autophagy.** OSS-induced oxidative stress activates JNK, which plays a dual role in inducing mitochondrial dysfunction and initiating autophagy. However, sustained OSS impairs autophagic flux, leading to accumulation of p62, increased mtO<sub>2</sub><sup>•-</sup> production, and mtDNA damage.

*Complex II activity assay*

Mitochondrial complex II activity was measured with an assay kit from Abcam. Briefly, HAECs were collected after flow and resuspended at 5.5 mg/mL. The cells were lysed with the lysis buffer provided in the kit. After 30 min of incubation, the lysates were centrifuged at 25,000 g for 20 min at 4°C. Supernatants were collected for the assay following the manufacturer’s instructions. Relative complex II activities were normalized to protein levels.

*mtDNA damage assay*

mtDNA damage was assessed by quantifying a large base pair deletion along the major arch of the mitochondrial genome by quantitative PCR. A common 4977 bp deletion in mtDNA was quantified by quantitative PCR (8) using primers flanking the deletion and normalized to the mitochondrial COX1 gene. The pair of primers for detection of the 4977 bp deletion was forward: CCTTACACTATTCCTCATCACC; reverse: TGTGGTCTTTGGAGTAGAAACC; and the pair of primers for mitochondrial COX1 was forward: TTCGCCGACCGTTGACTATTCTCT; reverse: AAGATTATTACAAATGCATGGGC.

*siRNA transfection*

Validated siRNA for ATG5 was purchased from Qiagen, Inc. Negative control siRNA or ATG5 siRNA (30 nM) was transfected to HAECs with Lipofectamine RNAiMAX (Life Technologies) following the manufacturer’s instructions. Real-time PCR was used for confirmation of gene knock-down at 48 h after transfection.

### Overexpression of MnSOD

Overexpression of the mitochondrial antioxidant enzyme, MnSOD, was done by transfecting HAECs with MnSOD adenovirus at an MOI of 1:100 for 1 h. HAECs were allowed to recover for 24 h before flow exposure, as previously described (73).

### Immunofluorescence staining

Following flow exposure, HAECs were fixed with 4% PFA and stained with antibody against 8-OHdG for DNA oxidation and anti-cytochrome C for mitochondria. Fluorescent images were acquired using an inverted microscope (Olympus) and a DSLR camera (Canon).

### Flow cytometry analysis to quantify $mtO_2^{\bullet-}$ production

Mitochondrial superoxide ( $mtO_2^{\bullet-}$ ) production was assessed by staining with MitoSOX Red, as previously described (73, 74), and was quantified using flow cytometry as previously described (52).

### Nuclear DNA damage assay (Comet assay)

Nuclear DNA damage was assessed by quantifying nuclear DNA fragmentation using the Comet assay kit (Trevigen) according to the manufacturer's suggested procedures. Briefly, HAECs were trypsinized and immobilized on a glass slide with low-melting point agarose. The cells were lysed, treated with alkaline buffer, and stained with an SYBR green nucleic acid stain. The sample was then run at 28 V for 30 m, comet tails were visualized by fluorescence microscopy, and the tail moments were quantified using CaspLab Comet Assay Software.

### Statistical analysis

Data were expressed as mean  $\pm$  standard deviation and compared among separate experiments. Comparisons of multiple values were made by one-way analysis of variance (ANOVA), and statistical significance for pair-wise comparisons was determined *post hoc* using Tukey's method. *p*-Values of  $<0.05$  were considered statistically significant.

### Acknowledgments

The authors are grateful to Dr. Junichi Sadoshima from Rutgers New Jersey Medical School for providing recombinant GFP-LC3 adenoviruses. The authors thank Dr. Peixiang Zhang from UCLA for the Seahorse assay. This project was supported by National Institutes of Health, HL083015 (T.K.H.), HL118650 (T.K.H.), HL111437 (T.K.H.), DE010742 (D.K.A.), and DE014183 (D.K.A.).

### Author Disclosure Statement

No competing financial interests exist.

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Date of first submission to ARS Central, February 28, 2014; date of final revised submission, April 20, 2015; date of acceptance, May 11, 2015.

#### Abbreviations Used

8-OHdG	= 8-hydroxy-2'-deoxyguanosine
CFD	= computational fluid dynamic
EBSS	= Earle's balanced salt solution
GFP	= green fluorescent protein
HAEC	= human aortic endothelial cell
JNK	= c-Jun N <sub>2</sub> -terminal Kinase
LC3	= microtubule-associated protein light chain 3
MnSOD	= manganese superoxide dismutase
MOI	= multiplicity of infection
mtDNA	= mitochondrial DNA
mtO <sub>2</sub> <sup>•-</sup>	= mitochondrial superoxide
mTOR	= mechanistic target of rapamycin
NAC	= N-acetyl cysteine
NZW	= New Zealand White
OSS	= oscillatory shear stress
ox-LDL	= oxidized low-density lipoprotein
PSS	= pulsatile shear stress
ROS	= reactive oxygen species